

# GRANT AGREEMENT AMENDMENT APPROVAL FORM


**To:** Air Pollution Control Officer  
**Staff Contact:** Jim Fredrickson  
**Date:** July 20, 2015  
**Grant Program:** Off-Road Equipment Replacement Program (Carl Moyer Program)

## GRANT INFORMATION

**Grantee:** Main Street Produce  
**Grant Agreement Number:** AP141502  
**Grant Agreement Amount:** \$100,000  
**Existing Equipment:** 2002 Caterpillar MT765 agricultural tractor w/Tier 1 engine  
**New Equipment:** 2015 Caterpillar 8345R ag tractor w/ Final Tier 4 engine  
**Grant Cost-Effectiveness:** \$9,930/ton NO<sub>x</sub>+ROC+PM<sub>10</sub>  
**Program Limit:** \$17,080/ton NO<sub>x</sub>+ROC+PM<sub>10</sub>  
**Project Life:** 3 Years  
**Project Lifetime Emission Reductions:** 7.99 tons NO<sub>x</sub>+ROC+PM<sub>10</sub>

## PROGRAM INFORMATION

**Approved Program Budget:** \$2,500,000  
**Encumbered Grant Agreements:** \$1,886,935 (Including current Grant)  
**Current Program Balance:** \$ 613,065  
**Overall Program Cost-Effectiveness:** \$13,718/ton NO<sub>x</sub>+ROC+PM<sub>10</sub> (Including current Grant)

<p>Approved:  Air Pollution Control Officer</p>	<p>Date: <u>July 20, 2015</u></p>
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Grant Agreement AP141502 was originally approved on April 3, 2015. The above summarizes amendments made and approved by the APCO after that date. Please contact the Board Clerk to review this grant agreement and/or amendment.

**GRANT AGREEMENT AMENDMENT**  
**between**  
**The Santa Barbara County Air Pollution Control District**  
**and**  
**Main Street Produce [AP141502]**

This Grant Agreement Amendment is made this 20<sup>th</sup> day of July 2015, in order to modify Grant Agreement AP141502, dated April 3, 2015, between the Santa Barbara County Air Pollution Control District, hereinafter referred to as "DISTRICT," and Main Street Produce, hereinafter referred to as "GRANTEE".

**RECITALS**

WHEREAS, DISTRICT Board of Directors has delegated authority to the Control Officer to execute certain grant agreements and this Grant Agreement Amendment falls within that delegation authority;

WHEREAS, Condition 23 (Amendment) states that this Grant Agreement may only be amended in writing executed by DISTRICT Board and GRANTEE or, where authorized by the Board, by the Control Officer and GRANTEE;

WHEREAS, GRANTEE has submitted a proposal to replace an existing model year 2002 Caterpillar agricultural tractor model Challenger MT-765 with a Tier 1 diesel engine, with a model year 2015 John Deere agricultural tractor model 8345R with a certified Final Tier 4 diesel engine;

WHEREAS, ATTACHMENT A (SCOPE OF WORK) had incorrect information specifically for the new engine model number, EPA Family Number; and ARB Executive Order Number;

WHEREAS, DISTRICT staff reviewed the corrected engine information and determined that the change will have no impact on the performance of the equipment, the cost effectiveness calculations, or the anticipated emission reductions associated with the equipment replacement project.

NOW, THEREFORE, it is hereby agreed between DISTRICT and GRANTEE as follows:

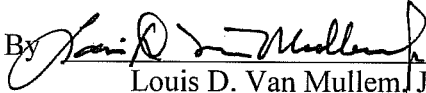
1. ATTACHMENT A (SCOPE OF WORK) in Grant Agreement AP141502, dated February 3, 2015, is hereby modified as set forth in ATTACHMENT A to the Grant Agreement Amendment.
2. Except as modified herein, Grant Agreement AP141502, dated April 3, 2015, shall remain in full force and effect.

This Grant Agreement Amendment between the Santa Barbara County Air Pollution Control District and Main Street Produce was executed at Santa Barbara, California on the day and year first above written.

SANTA BARBARA COUNTY AIR  
POLLUTION CONTROL DISTRICT,  
STATE OF CALIFORNIA

ATTEST:

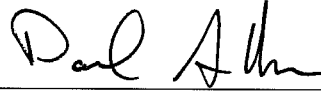
LOUIS D. VAN MULLEM, JR.  
CLERK OF THE BOARD

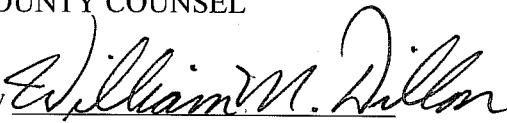
By   
Louis D. Van Mullem, Jr.  
Air Pollution Control Officer

By   
Deputy

GRANTEE: MAIN STREET PRODUCE

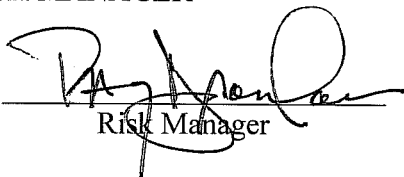
APPROVED AS TO FORM  
MICHAEL C. GHIZZONI  
COUNTY COUNSEL

By   
Paul Allen  
President


By   
Deputy County Counsel

Date: 7-10-2015

APPROVED AS TO FORM:  
RAY AROMATORIO, ARM, AIC  
RISK MANAGER

By   
Risk Manager

APPROVED AS TO ACCOUNTING FORM:  
ROBERT W. GEIS, CPA, CPFO  
AUDITOR-CONTROLLER

By   
Division Chief  
Advance/Speciality Accounting

# GRANT AGREEMENT AMENDMENT APPROVAL FORM

**To:** Air Pollution Control Officer  
**Staff Contact:** Jim Fredrickson  
**Date:** June 11, 2015  
**Grant Program:** Off-Road Equipment Replacement Program (Carl Moyer Program)

## GRANT INFORMATION

**Grantee:** V. Lopez Jr. & Sons  
**Grant Agreement Number:** AP141503  
**Grant Agreement Amount:** \$73,000  
**Existing Equipment:** 2001 Case 580 Super M Loader/Backhoe w/Tier 1 engine  
**New Equipment:** 2015 Case 580N Loader/Backhoe  
w/~~Interim~~ Final Tier 4 engine  
**Grant Cost-Effectiveness:** \$16,946 \$15,113/ton NOx+ROC+PM<sub>10</sub>  
**Program Limit:** \$17,080/ton NOx+ROC+PM<sub>10</sub>  
**Project Life:** 5 Years  
**Project Lifetime Emission Reductions:** ~~1.68~~ 2.23 tons NOx+ROC+PM<sub>10</sub>

## PROGRAM INFORMATION

**Approved Program Budget:** \$2,500,000  
**Encumbered Grant Agreements:** \$2,036,935 (Including current Grant)  
**Current Program Balance:** \$ 463,065  
**Overall Program Cost-Effectiveness:** \$13,874 \$13,804/ton NOx+ROC+PM<sub>10</sub> (w/ current Grant)

Approved: \_\_\_\_\_

Air Pollution Control Officer

Date: 6/17/2015

Grant Agreement AP141503 was originally approved on April 9, 2015. The above summarizes amendments made and approved by the APCO after that date. Please contact the Board Clerk to review this grant agreement and/or amendment.

# GRANT AGREEMENT AMENDMENT

between

The Santa Barbara County Air Pollution Control District

and

V. Lopez Jr. & Sons [AP141503]

This Grant Agreement Amendment is made this 17<sup>th</sup> day of June 2015, in order to modify Grant Agreement AP141503, dated April 9, 2015, between the Santa Barbara County Air Pollution Control District, hereinafter referred to as "DISTRICT," and V. Lopez Jr. & Sons, hereinafter referred to as "GRANTEE".

## RECITALS

WHEREAS, DISTRICT Board of Directors has delegated authority to the Control Officer to execute certain grant agreements and this Grant Agreement Amendment falls within that delegation authority;

WHEREAS, Condition 23 (Amendment) states that this Grant Agreement may only be amended in writing executed by DISTRICT Board and GRANTEE or, where authorized by the Board, by the Control Officer and GRANTEE;

WHEREAS, GRANTEE has submitted a proposal to replace an existing model year 2001 Case construction loader/backhoe model 580 Super M with a tier 1 diesel engine, with a model year 2015 Case construction loader/backhoe model 580N with a certified interim Tier 4 diesel engine;

WHEREAS, ATTACHMENT A (SCOPE OF WORK) had incorrect information specifically for the new engine model number, horsepower rating, tier, and EPA Family Number;

WHEREAS, DISTRICT staff reviewed the corrected engine information and determined that the change will have no impact on the performance of the equipment, the cost effectiveness calculations, or the anticipated emission reductions associated with the equipment replacement project.

NOW, THEREFORE, it is hereby agreed between DISTRICT and GRANTEE as follows:

1. ATTACHMENT A (SCOPE OF WORK) in Grant Agreement AP141503, dated March 12, 2015, is hereby modified as set forth in ATTACHMENT A to the Grant Agreement Amendment.
2. Except as modified herein, Grant Agreement AP141503, dated April 9, 2015, shall remain in full force and effect.


This Grant Agreement Amendment between the Santa Barbara County Air Pollution Control District and V. Lopez Jr. & Sons was executed at Santa Barbara, California on the day and year first above written.

SANTA BARBARA COUNTY AIR POLLUTION CONTROL DISTRICT, STATE OF CALIFORNIA

ATTEST:

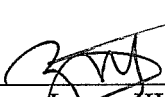
LOUIS D. VAN MULLEM, JR.  
CLERK OF THE BOARD

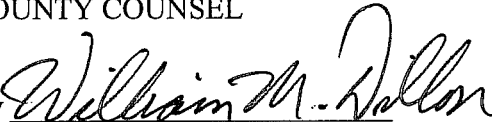
By   
Louis D. Van Mullem, Jr.  
Air Pollution Control Officer

By   
Deputy

GRANTEE: V. LOPEZ JR. & SONS

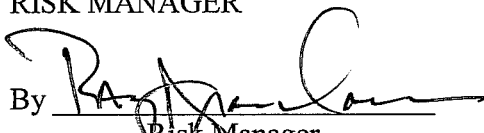
APPROVED AS TO FORM  
MICHAEL C. GHIZZONI  
COUNTY COUNSEL

By   
Vince Lopez III  
President

By   
Deputy County Counsel

Date: 6/8/15

APPROVED AS TO FORM:  
RAY AROMATORIO, ARM, AIC  
RISK MANAGER

By   
Risk Manager

APPROVED AS TO ACCOUNTING FORM:  
ROBERT W. GEIS, CPA, CPFO  
AUDITOR-CONTROLLER

By   
Division Chief  
Advance/Speciality Accounting